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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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JUL 06 2004

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Application Number

10/662,940

Filing Date

September 16, 2003

First Named Inventor

Kimball C. CHEN, et al.

Art Unit

2632

Examiner Name

Unassigned

Attorney Docket Number

64171.000002

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
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IB	5.	WO	99/39505	08-05-1999	Kavy		<input type="checkbox"/>	<input type="checkbox"/>
IB	6.	EP	0 944 002 A1	09-22-1999	Bunney et al.		<input type="checkbox"/>	<input type="checkbox"/>

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	7.	European Search Report dated 03-29-2004 for European Application No. 00 90 8229		

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IB	28.	US- 5917405	06-29-1999	Joao	
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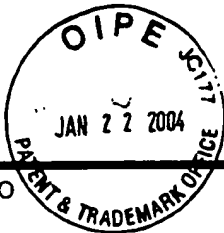
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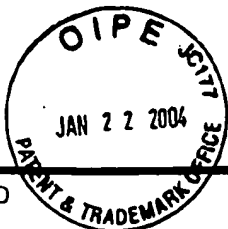
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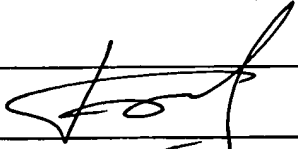
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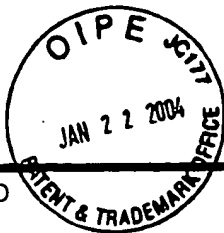
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/662,940
				Filing Date	September 16, 2003
				First Named Inventor	Chen, et al.
				Art Unit	2632
				Examiner Name	Unassigned
Sheet	10	of	12	Attorney Docket Number	64171.000002

NON-PATENT LITERATURE DOCUMENTS

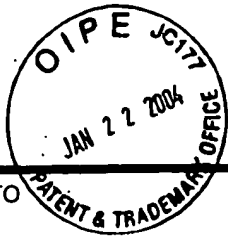
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
IB	111. ✓	Rom Communications, <http://www.romcomm.com/>, 2003, 7 pages		
IB	112. ✓	Stovact, <http://www.stovact.com>, 1999, 8 pages		
IB	113. ✓	Strison Wireless Systems, <http://www.strison.com/>, 12/9/03, 5 pages		
IB	114. ✓	Silicon Energy, <http://www.siliconenergy.com/home2.htm>, 12/9/03, 8 pages		
IB	115. ✓	Telemetric.com, <http://www.telemetric.com/>, 2003, 11 pages		
IB	116. ✓	Telular Corporation, <http://www.telular.com/>, 2003, 6 pages		
IB	117. ✓	Tridium Inc., <http://www.tridium.com/>, 2003, 11 pages		
IB	118. ✓	UtiliTech, Inc., <http://www.utilitech.com/>, 2003, 13 pages		
IB	119. ✓	YDI Wireless, Unbelievable Link CX Pricing, <http://www.ydi.com>, 2003, 4 pages		
IB	120. ✓	Zensys, <http://www.zen-sys.com/>, 12/9/03, 9 pages		

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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Sheet	11	of	12	Attorney Docket Number	64171.000002
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NON-PATENT LITERATURE DOCUMENTS

*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
IR	121.	Demand a Response Programs, <www.naseo.org/committees/energy/documents/demand_response_program_final.pdf>, 10 pages		
IR	122.	Taming Price Volatility With The Next Generation Of Load Management, <www.retx.com/images/upload/whitepapers/white%20paper_taming-price.pdf>, 6 pages		
IR	123.	Executive Summary, <www.energypromotion.net/DR-ExecSumm.pdf>, 4 pages		
IR	124.	Retx, "Retx Inc. and Pentech Solutions Inc. Begin Strategic Alliance to Help End-Users in Volatile Energy Markets", <http://www.retx.com/newsitem.asp?newsid+59&newstype=C>, March 20, 2001, 2 pages		
IR	125.	Business Wire, "Pentech Energy Solutions Inc. Brings Latest Advance In Energy Efficiency to the Residential Market," <http://www.findarticles.com/cf_dls/m0EIN/1999_June_28/55000597/print.jtml>, 6/28/99, 2 pages		
IR	126.	EPSC's Policy Positions, White Paper An Initial Analysis of Recent Wholesale Prices, Price Caps, and Their Effect on Competitive Bulk Power Markets, <http://www.epsc.org/forms/documents/DocumentFormPublic/view?=30500000034E>, 1/16/04, pp. 1-20		
IR	127.	Using Tactical Demand Response to Help Defer T&D Capital Expenditures, 2003, 67 pages		
IR	128.	Charles Athanasia, Business Facilities, The Location Advisor, Rethinking Distributed Generation, An Energy management tool that has the added benefit of providing emergency power as well, <http://www.facilitycity.com/busfac/bf_02_10_cover2.asp>, October 2002, 3 pages		
IR	129.	Peak Load Management Alliance, Demand a Response: Design Principles for Creating Customer and Market Value, <www.peaklma.com>, November 2002, pp. 1-47		

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IR	130.	Larry Barrett, Peak Load Management Alliance, Key Issues in Demand Response: Overview of PLMA Efforts, <www.peaklma.com>, Spring 2002, pp. 1-34			
DB	131.	DOUG BACKER, Cannon Technologies, Mass Market Pay-For-Performance Demand Response, <www.cannontech.com>, Spring 2003, 59 pages			
DD	132.	STEVE ROSENSTOCK, Results of the EEI/PLMA 2001 Demand Resposne Benchmarking Survey, Edison Electric Institute, April 2002, pp. 1-21			
DB	133.	CHARLES GOLDMAN, California Public Benefit Programs and Funds: Opportunities for Federal Customers, August 30, 2001, 22 pages			
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